

IN THE UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555 (SCC)

PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of an undivided interest in the claim referenced in this evidence and notice.

BKM HOLDINGS (CAYMAN) LTD.

Name of Transferee

DEUTSCHE BANK AG, LONDON BRANCH

Name of Transferor

BKM HOLDINGS (CAYMAN) LTD.


c/o Davidson Kempner Capital Management
520 Madison Avenue, 30th Floor
New York, New York 10022
Telephone: 212 446 4018
Facsimile: 212 371 4318
Email: jdonovan@dkpartners.com
Attn: Jennifer Donovan
Name and address where transferee payments
should be sent (if different from above):

Court Claim # (if known): See Schedule 1
Amount of Claim Transferred: See Schedule 1
ISIN/CUSIP: See Schedule 1

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

BKM HOLDINGS (CAYMAN) LTD.

By Midtown Acquisitions L.P., its sole shareholder
By Midtown Acquisitions GP LLC, its general partner

By: 
Transferee/Transferee's Agent

Date: December 1, 2016

Schedule 1

ISIN/CUSIP	Proof of Claim	Allowed Amount of Claim Transferred
XS0253139843	59683.00	\$1,921,343.47
XS0253743800	59684.00	\$1,930,277.43
XS0289830936	59685.00	\$209,845.01
XS0251363064	59686.00	\$410,513.38
XS0305889593	59687.00	\$214,716.89
XS0269492038	59688.00	\$101,526.00
XS0239231573	59689.00	\$75,694.18
XS0336192868	59690.00	\$1,145,343.70
XS0366684073	59691.00	\$7,098,357.10
XS0268040192	59693.00	\$2,497,714.53
XS0336623433	59695.00	\$507,723.61
XS0339480344	59697.00	\$3,033,333.34
XS0342489233	59698.00	\$141,769.29
XS0344314850	59699.00	\$100,875.00
XS0340461747	59700.00	\$352,748.96
XS0341031275	59701.00	\$605,395.00
XS0342095881	59702.00	\$3,628,390.00
XS0347451295	59704.00	\$1,505,843.75
XS0344549067	59705.00	\$1,055,250.00
XS0349757103	59706.00	\$1,312,561.78
XS0349442458	59707.00	\$2,221,662.22
XS0350115878	59708.00	\$1,630,222.22
XS0352111289	59709.00	\$813,166.67
XS0353348740	59711.00	\$505,275.69
XS0353349631	59712.00	\$3,047,033.33
XS0340756898	59714.00	\$140,000.00
XS0323535418	59715.00	\$2,838,006.20
XS0353349045	59717.00	\$700,000.00
XS0211814123	59723.00	\$363,183.50
XS0213971210	59727.00	\$1,074,685.28
XS0307362656	59731.00	\$1,465,840.27
XS0293062146	59732.00	\$2,326,393.32
XS0132961896	59740.00	\$129,776.61
XS0363628982	59741.00	\$323,748.94
XS0306927723	59742.00	\$89,885.79
XS0181945972	59751.00	\$309,395.96
XS0285498902	59755.00	\$2,852,690.81
XS0282208718	59756.00	\$1,897,143.96
XS0282208049	59757.00	\$1,715,698.88

ISIN/CUSIP	Proof of Claim	Allowed Amount of Claim Transferred
XS0301129549	59760.00	\$304,319.69
XS0223938803	59762.00	\$1,258,400.20
XS0195431613	59763.00	\$174,584.87
XS0220691017	59764.00	\$1,435,180.38
XS0197173643	59765.00	\$1,425,220.01
XS0280166116	59768.00	\$4,115,406.74
XS0341031432	59771.00	\$81,741.04
XS0342097317	59772.00	\$129,550.65
XS0226380334	59834.00	\$2,689,508.67
CH0043088621	59835.00	\$49,699.01

Transfer of LBHI Claims # 59683, 59684, 59685, 59686, 59687, 59688, 59689, 59690, 59691, 59693, 59695, 59697, 59698, 59699, 59700, 59701, 59702, 59704, 59705, 59706, 59707, 59708, 59709, 59711, 59712, 59714, 59715, 59717, 59723, 59727, 59731, 59732, 59740, 59741, 59742, 59751, 59755, 59756, 59757, 59760, 59762, 59763, 59764, 59765, 59768, 59771, 59772, 59834, 59835

PROGRAM SECURITY

AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM
LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

1. For value received, the adequacy and sufficiency of which are hereby acknowledged, **Deutsche Bank AG, London Branch** ("**Seller**") hereby unconditionally and irrevocably sells, transfers and assigns to **BKM Holdings (Cayman) Ltd.** (the "**Purchaser**"), and Purchaser hereby agrees to purchase, as of the date hereof, (a) an undivided interest, to the extent of the applicable amounts specified in Schedule 1 hereto, in Seller's right, title and interest in and to the Proof of Claim Numbers set forth in Schedule 1 filed by or on behalf of Seller (copies of which are attached at Schedule 4 hereto) (the "**Proofs of Claim**") as is specified in Schedule 1 hereto (the "**Purchased Claim**") against Lehman Brothers Holdings, Inc. ("**LBHI**"), as guarantor of the Purchased Securities (as defined below) and debtor in proceedings for reorganization (the "**Proceedings**") in the United States Bankruptcy Court for the Southern District of New York (the "**Court**"), administered under Case No. 08-13555 (SCC) (the "**Debtor**"), (b) all rights and benefits of Seller relating to the Purchased Claim, including without limitation (i) any right to receive cash, securities, instruments, interest, damages, penalties, fees or other property, which may be paid or distributed with respect to the Purchased Claim or with respect to any of the documents, agreements, bills and/or other documents (whether now existing or hereafter arising) which evidence, create and/or give rise to or affect in any material way the Purchased Claim, whether under a plan of reorganization or liquidation, pursuant to a liquidation, or otherwise, (ii) any actions, claims (including, without limitation, "claims" as defined in Section 101(5) of Title 11 of the United States Code (the "**Bankruptcy Code**")), rights or lawsuits of any nature whatsoever, whether against the Debtor or any other party, arising out of or in connection with the Purchased Claim, (iii) any rights and benefits arising out of or in connection with any exhibit, attachment and/or supporting documentation relating to the Purchased Claim, and (iv) any and all of Seller's rights, title and interest in, to and under the transfer agreements, if any, under which Seller acquired the rights underlying or constituting a part of the Purchased Claim, but only to the extent related to the Purchased Claim, (c) any and all proceeds of any of the foregoing (collectively, as described in clauses (a), (b), and (c), the "**Transferred Claims**"), and (d) the security or securities (any such securities, the "**Purchased Securities**") relating to the Purchased Claim and specified in Schedule 1 attached hereto. For the avoidance of doubt, Purchaser does not assume and shall not be responsible for any obligations or liabilities of the Seller related to or in connection with the Transferred Claims, Purchased Securities or the Proceedings.

2. Seller hereby represents and warrants to Purchaser that: (a) the Proofs of Claim were duly and timely filed on or before 5:00 p.m. (prevailing Eastern Time) on November 2, 2009 in accordance with the Court's order setting the deadline for filing proofs of claim in respect of "Lehman Program Securities"; (b) the Proofs of Claim relate to one or more securities expressly identified on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009; (c) Seller owns and has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by Seller or against Seller; (d) Seller is duly authorized and empowered to execute and perform its obligations under this Agreement and Evidence of Transfer of Claim; (e) the Proofs of Claim include the Purchased Claim specified in Schedule 1 attached hereto; (f) the Seller has not engaged in any acts, conduct or omissions, or had any relationship with the Debtor or its affiliates, that will result in Purchaser receiving in respect of the Transferred Claims proportionately less payments or distributions or less favorable treatment than other unsecured creditors; (g) the Transferred Claims are Class 5 Senior Third Party Guarantee Claims; (h) on or around the dates set forth in Schedule 2, the Seller received the distributions in the amounts set forth in Schedule 2 relating to the Transferred Claims; (i) on or about the dates set forth in Schedule 3, the Seller received the distributions in the amounts set forth in Schedule 3 made by Lehman Brothers Treasury Co. B.V. ("**LBT**"), with respect to the securities relating to the Transferred Claims; and (j) other than the distributions set out in Schedule 2 and Schedule 3, the Seller has not received any distributions in respect of the Transferred Claims or the Purchased Securities.

Transfer of LBHI Claims # 59683, 59684, 59685, 59686, 59687, 59688, 59689, 59690, 59691, 59693, 59695, 59697, 59698, 59699, 59700, 59701, 59702, 59704, 59705, 59706, 59707, 59708, 59709, 59711, 59712, 59714, 59715, 59717, 59723, 59727, 59731, 59732, 59740, 59741, 59742, 59751, 59755, 59756, 59757, 59760, 59762, 59763, 59764, 59765, 59768, 59771, 59772, 59834, 59835

PROGRAM SECURITY

3. Seller hereby waives any objection to the transfer of the Transferred Claims to Purchaser on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Seller by Purchaser for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claims. Purchaser agrees to file a notice of transfer with the Court pursuant to Federal Rule of Bankruptcy Procedure 3001(e) including this Agreement and Evidence of Transfer of Claim. Seller acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Seller transferring to Purchaser the Transferred Claims, recognizing Purchaser as the sole owner and holder of the Transferred Claims, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Purchaser.

4. All representations, warranties, covenants and indemnities shall survive the execution, delivery and performance of this Agreement and Evidence of Transfer of Claim and the transactions described herein. Purchaser shall be entitled to transfer its rights hereunder without any notice to or the consent of Seller. Seller hereby agrees to indemnify, defend and hold Purchaser, its successors and assigns and its officers, directors, employees, agents and controlling persons harmless from and against any and all losses, claims, damages, costs, expenses and liabilities, including, without limitation, reasonable attorneys' fees and expenses, which result from Seller's breach of its representations and warranties made herein.

5. Seller shall promptly (but in any event no later than three (3) business days) remit any payments, distributions or proceeds received by Seller on or after the trade date of March 04, 2016 in respect of the Transferred Claims and Purchased Securities to Purchaser (including, for the avoidance of doubt, any distributions received by the Seller on or after the trade date of March 04, 2016, including, without limitation, the distributions made by the Debtor on or around March 31, 2016, June 16, 2016 and October 06, 2016 and by LBT on or around April 28, 2016 and July 14, 2016). Seller has transferred, or shall transfer as soon as practicable after the date hereof, to Purchaser each Purchased Security to such account, via Euroclear or Clearstream (or similar transfer method), as Purchaser may designate in writing to Seller. This Agreement and Evidence of Transfer of Claim supplements and does not supersede any confirmation, any other automatically generated documentation or any applicable rules of Euroclear or Clearstream (or similar transfer method) with respect to the purchase and sale of the Purchased Securities.

6. Each of Seller and Purchaser agrees to (a) execute and deliver, or cause to be executed and delivered, all such other and further agreements, documents and instruments and (b) take or cause to be taken all such other and further actions as the other party may reasonably request to effectuate the intent and purposes, and carry out the terms, of this Agreement and Evidence of Transfer of Claim, including, without limitation, cooperating to ensure the timely and accurate filing of any amendment to the Proofs of Claim.

7. Seller's and Purchaser's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with the laws of the State of New York (without regard to any conflicts of law provision that would require the application of the law of any other jurisdiction). Seller and Purchaser each submit to the jurisdiction of the courts located in the County of New York in the State of New York. Each party hereto consents to service of process by certified mail at its address listed on the signature page below.

Transfer of LBHI Claims # 59683, 59684, 59685, 59686, 59687, 59688, 59689, 59690, 59691, 59693, 59695, 59697, 59698, 59699, 59700, 59701, 59702, 59704, 59705, 59706, 59707, 59708, 59709, 59711, 59712, 59714, 59715, 59717, 59723, 59727, 59731, 59732, 59740, 59741, 59742, 59751, 59755, 59756, 59757, 59760, 59762, 59763, 59764, 59765, 59768, 59771, 59772, 59834, 59835

PROGRAM SECURITY

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this 30 day of November 2016.

DEUTSCHE BANK AG, LONDON BRANCH

By: 

Name:

Title:

Winchester House
1, Great Winchester Street
London EC2N 2DB
ENGLAND
Attn: Michael Sutton

BKM HOLDINGS (CAYMAN) LTD.

By: Midtown Acquisitions L.P., its sole shareholder

By: Midtown Acquisitions GP LLC, its general partner

By: _____

Name:

Title:

Address:

c/o Walkers Corporate Limited,
Cayman Corporate Centre,
27 Hospital Road
George Town, Grand Cayman KY1-9008
Cayman Islands

With a copy to:

c/o Davidson Kempner Capital Management,
520 Madison Avenue, 30th Floor,
New York, NY 10022

Tel: 212 446 4018

Fax: 212 371 4318

Email: jdonovan@dkpartners.com

Attn: Jennifer Donovan

Transfer of LBHI Claims # 59683, 59684, 59685, 59686, 59687, 59688, 59689, 59690, 59691, 59693, 59695, 59697, 59698, 59699, 59700, 59701, 59702, 59704, 59705, 59706, 59707, 59708, 59709, 59711, 59712, 59714, 59715, 59717, 59723, 59727, 59731, 59732, 59740, 59741, 59742, 59751, 59755, 59756, 59757, 59760, 59762, 59763, 59764, 59765, 59768, 59771, 59772, 59834, 59835

PROGRAM SECURITY

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this 30 day of November 2016.

DEUTSCHE BANK AG, LONDON BRANCH

By: _____
Name:
Title:

Winchester House
1, Great Winchester Street
London EC2N 2DB
ENGLAND
Attn: Michael Sutton

BKM HOLDINGS (CAYMAN) LTD.

By: Midtown Acquisitions L.P., its sole shareholder
By: Midtown Acquisitions GP LLC, its general partner

By: _____
Name: *Norman Friedman*
Title: *Manager*

Address:
c/o Walkers Corporate Limited,
Cayman Corporate Centre,
27 Hospital Road
George Town, Grand Cayman KY1-9008
Cayman Islands

With a copy to:
c/o Davidson Kempner Capital Management,
520 Madison Avenue, 30th Floor,
New York, NY 10022

Tel: 212 446 4018
Fax: 212 371 4318
Email: jdonovan@dkpartners.com
Attn: Jennifer Donovan

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PROGRAM SECURITYSchedule 1Transferred ClaimsLehman Programs Securities and Purchased Claim to which Transfer Relates

ISIN / CUSIP	Blocking Number	Issuer	Guarantor	Principal / Notional Amount	ISIN CCY	POC #	USD Allowed Amount
XS0253139843	6059433	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	200,000,000.00	JPY	59683	\$1,921,343.47
XS0253743800	6059436	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	200,000,000.00	JPY	59684	\$1,930,277.43
XS0289830936	6059439	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	50,268,000.00	JPY	59685	\$209,845.01
XS0251363064	6059440	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	90,000,000.00	JPY	59686	\$410,513.38
XS0305889593	6059441	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	33,840,000.00	JPY	59687	\$214,716.89
XS0269492038	6059443	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	20,856,000.00	JPY	59688	\$101,526.00
XS0239231573	6059444	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	20,384,000.00	JPY	59689	\$75,694.18
XS0336192868	6059451	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	150,000,000.00	JPY	59690	\$1,145,343.70
XS0366684073	6059460	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	76,000,000.00	MXN	59691	\$7,098,357.10
XS0268040192	6059465	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	3,500,000.00	SGD	59693	\$2,497,714.53
XS0336623433	6059470	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	500,000.00	USD	59695	\$507,723.61
XS0339480344	6059474	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	3,000,000.00	USD	59697	\$3,033,333.34
XS0342489233	6059476	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	140,000.00	USD	59698	\$141,769.29
XS0344314850	6059479	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	100,000.00	USD	59699	\$100,875.00
XS0340461747	6059481	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	350,000.00	USD	59700	\$352,748.96
XS0341031275	6059490	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	600,000.00	USD	59701	\$605,395.00
XS0342095881	6059491	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	3,600,000.00	USD	59702	\$3,628,390.00
XS0347451295	6059495	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,500,000.00	USD	59704	\$1,505,843.75
XS0344549067	6059496	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,050,000.00	USD	59705	\$1,055,250.00
XS0349757103	6059499	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,310,000.00	USD	59706	\$1,312,561.78
XS0349442458	6059502	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	2,180,000.00	USD	59707	\$2,221,662.22
XS0350115878	6059504	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,600,000.00	USD	59708	\$1,630,222.22
XS0352111289	6059505	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	800,000.00	USD	59709	\$813,166.67

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PROGRAM SECURITY

ISIN / CUSIP	Blocking Number	Issuer	Guarantor	Principal / Notional Amount	ISIN CCY	POC #	USD Allowed Amount
XS0353348740	6059514	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	500,000.00	USD	59711	\$505,275.69
XS0353349631	6059516	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	3,000,000.00	USD	59712	\$3,047,033.33
XS0340756898	6059518	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	140,000.00	USD	59714	\$140,000.00
XS0323535418	6059519	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	2,078,000.00	USD	59715	\$2,838,006.20
XS0353349045	6059527	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	700,000.00	USD	59717	\$700,000.00
XS0211814123	6059374	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	250,000.00	EUR	59723	\$363,183.50
XS0213971210	6059384	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	750,000.00	EUR	59727	\$1,074,685.28
XS0307362656	6059391	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,000,000.00	EUR	59731	\$1,465,840.27
XS0293062146	6059394	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	2,000,000.00	EUR	59732	\$2,326,393.32
XS0132961896	6059426	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,000,000.00	HKD	59740	\$129,776.61
XS0363628982	6059428	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	50,000,000.00	JPY	59741	\$323,748.94
XS0306927723	6059430	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	20,160,000.00	JPY	59742	\$89,885.79
XS0181945972	6059320	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	213,000.00	EUR	59751	\$309,395.96
XS0285498902	6059325	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	2,000,000.00	EUR	59755	\$2,852,690.81
XS0282208718	6059329	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,329,000.00	EUR	59756	\$1,897,143.96
XS0282208049	6059330	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,209,000.00	EUR	59757	\$1,715,698.88
XS0301129549	6059337	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	300,000.00	EUR	59760	\$304,319.69
XS0223938803	6059339	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,000,000.00	EUR	59762	\$1,258,400.20
XS0195431613	6059340	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	122,000.00	EUR	59763	\$174,584.87
XS0220691017	6059341	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,200,000.00	EUR	59764	\$1,435,180.38
XS0197173643	6059342	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	1,000,000.00	EUR	59765	\$1,425,220.01
XS0280166116	6059349	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	2,900,000.00	EUR	59768	\$4,115,406.74
XS0341031432	6059295	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	100,000.00	AUD	59771	\$81,741.04
XS0342097317	6059291	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	160,000.00	AUD	59772	\$129,550.65
XS0226380334	6059303	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	3,015,000.00	CHF	59834	\$2,689,508.67
CH0043088621	6059309	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdings Inc.	60,000.00	CHF	59835	\$49,699.01

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PROGRAM SECURITYSchedule 2LBHI DISTRIBUTIONS

ISIN / CUSIP	POC #	USD Allowed Amount	17-Apr-12	1-Oct-12	4-Apr-13	3-Oct-13	3-Apr-14	2-Oct-14	2-Apr-15	1-Oct-15	31-Mar-16	16-Jun-16	06-Oct-16
XS0253139843	59683	\$1,921,343.47	\$69,345.68	\$46,795.83	\$59,106.57	\$70,077.48	\$76,120.47	\$57,128.36	\$38,986.69	\$29,735.88	\$8,251.76	\$12,287.95	\$21,603.43
XS0253743800	59684	\$1,930,277.43	\$69,668.13	\$47,013.43	\$59,381.41	\$70,403.33	\$76,474.42	\$57,394.00	\$39,167.97	\$29,874.15	\$8,290.13	\$12,345.08	\$21,703.88
XS0289830936	59685	\$209,845.01	\$7,573.78	\$5,110.94	\$6,455.49	\$7,653.71	\$8,313.71	\$6,239.43	\$4,258.04	\$3,247.68	\$901.24	\$1,342.06	\$2,359.48
XS0251363064	59686	\$410,513.38	\$14,816.36	\$9,998.37	\$12,628.68	\$14,972.72	\$16,263.86	\$12,206.02	\$8,329.87	\$6,353.35	\$1,763.06	\$2,625.43	\$4,615.77
XS0305889593	59687	\$214,716.89	\$7,749.62	\$5,229.59	\$6,605.36	\$7,831.40	\$8,506.73	\$6,384.29	\$4,356.89	\$3,323.08	\$922.16	\$1,373.22	\$2,414.25
XS0269492038	59688	\$101,526.00	\$3,664.30	\$2,472.74	\$3,123.25	\$3,702.97	\$4,022.29	\$3,018.72	\$2,060.10	\$1,571.27	\$436.03	\$649.30	\$1,141.55
XS0239231573	59689	\$75,694.18	\$2,731.97	\$1,843.59	\$2,328.59	\$2,760.80	\$2,998.87	\$2,250.65	\$1,535.93	\$1,171.48	\$325.09	\$484.10	\$851.09
XS0336192868	59690	\$1,145,343.70	\$41,338.07	\$27,895.75	\$35,234.36	\$41,774.31	\$45,376.63	\$34,055.13	\$23,240.59	\$17,726.03	\$4,919.01	\$7,325.04	\$12,878.15
XS0366684073	59691	\$7,098,357.10	\$256,195.96	\$172,886.09	\$218,367.82	\$258,899.58	\$281,225.26	\$211,059.35	\$144,035.39	\$109,858.50	\$30,485.95	\$45,397.54	\$79,813.35
XS0268040192	59693	\$2,497,714.53	\$90,148.23	\$60,833.81	\$76,837.56	\$91,099.56	\$98,955.35	\$74,265.91	\$50,682.04	\$38,656.15	\$10,727.15	\$15,974.13	\$28,084.10
XS0336623433	59695	\$507,723.61	\$18,324.90	\$12,366.00	\$15,619.17	\$18,518.28	\$20,115.17	\$15,096.42	\$10,302.40	\$7,857.84	\$2,180.56	\$3,247.14	\$5,708.80
XS0339480344	59697	\$3,033,333.34	\$109,479.94	\$73,879.22	\$93,314.86	\$110,635.28	\$120,175.69	\$90,191.76	\$61,550.48	\$46,945.71	\$13,027.52	\$19,399.68	\$34,106.55
XS0342489233	59698	\$141,769.29	\$5,116.77	\$3,452.90	\$4,361.26	\$5,170.77	\$5,616.66	\$4,215.30	\$2,876.69	\$2,194.10	\$608.86	\$906.68	\$1,594.04
XS0344314850	59699	\$100,875.00	\$3,640.80	\$2,456.89	\$3,103.23	\$3,679.23	\$3,996.50	\$2,999.37	\$2,046.89	\$1,561.20	\$433.23	\$645.14	\$1,134.23
XS0340461747	59700	\$352,748.96	\$12,731.51	\$8,591.47	\$10,851.66	\$12,865.87	\$13,975.33	\$10,488.47	\$7,157.75	\$5,459.35	\$1,514.98	\$2,256.00	\$3,966.28
XS0341031275	59701	\$605,395.00	\$21,850.09	\$14,744.87	\$18,623.85	\$22,080.67	\$23,984.75	\$18,000.54	\$12,284.29	\$9,369.46	\$2,600.04	\$3,871.80	\$6,807.01
XS0342095881	59702	\$3,628,390.00	\$130,956.90	\$88,372.30	\$111,620.70	\$132,338.88	\$143,750.86	\$107,884.91	\$73,625.00	\$56,155.17	\$15,583.17	\$23,205.36	\$40,797.32
XS0347451295	59704	\$1,505,843.75	\$54,349.34	\$36,676.01	\$46,324.49	\$54,922.89	\$59,659.05	\$44,774.08	\$30,555.63	\$23,305.35	\$6,467.28	\$9,630.62	\$16,931.58
XS0344549067	59705	\$1,055,250.00	\$38,086.38	\$25,701.44	\$32,462.81	\$38,488.31	\$41,807.27	\$31,376.32	\$21,412.46	\$16,331.69	\$4,532.07	\$6,748.85	\$11,865.14
XS0349757103	59706	\$1,312,561.78	\$47,373.36	\$31,968.47	\$40,378.53	\$47,873.28	\$52,001.54	\$39,027.12	\$26,633.67	\$20,314.00	\$5,637.17	\$8,394.48	\$14,758.33
XS0349442458	59707	\$2,221,662.22	\$80,184.87	\$54,110.33	\$68,345.32	\$81,031.06	\$88,018.61	\$66,057.90	\$45,080.57	\$34,383.79	\$9,541.57	\$14,208.64	\$24,980.19
XS0350115878	59708	\$1,630,222.22	\$58,838.45	\$39,705.35	\$50,150.77	\$59,459.37	\$64,586.73	\$48,472.29	\$33,079.44	\$25,230.31	\$7,001.46	\$10,426.08	\$18,330.08
XS0352111289	59709	\$813,166.67	\$29,349.04	\$19,805.31	\$25,015.56	\$29,658.76	\$32,216.32	\$24,178.33	\$16,500.26	\$12,585.06	\$3,492.38	\$5,200.60	\$9,143.18
XS0353348740	59711	\$505,275.69	\$18,236.55	\$12,306.38	\$15,543.87	\$18,429.00	\$20,018.19	\$15,023.63	\$10,252.73	\$7,819.95	\$2,170.05	\$3,231.49	\$5,681.27
XS0353349631	59712	\$3,047,033.33	\$109,974.41	\$74,212.90	\$93,736.34	\$111,134.96	\$120,718.46	\$90,599.11	\$61,828.48	\$47,157.74	\$13,086.36	\$19,487.30	\$34,260.59

Transfer of LBHI Claims # 59683, 59684, 59685, 59686, 59687, 59688, 59689, 59690, 59691, 59693, 59695, 59697, 59698, 59699, 59700, 59701, 59702, 59704, 59705, 59706, 59707, 59708, 59709, 59711, 59712, 59714, 59715, 59717, 59723, 59727, 59731, 59732, 59740, 59741, 59742, 59751, 59755, 59756, 59757, 59760, 59762, 59763, 59764, 59765, 59768, 59771, 59772, 59834, 59835

PROGRAM SECURITY

ISIN / CUSIP	POC #	USD Allowed Amount	17-Apr-12	1-Oct-12	4-Apr-13	3-Oct-13	3-Apr-14	2-Oct-14	2-Apr-15	1-Oct-15	31-Mar-16	16-Jun-16	06-Oct-16
XS0340756898	59714	\$140,000.00	\$5,052.92	\$3,409.81	\$4,306.84	\$5,106.24	\$5,546.57	\$4,162.69	\$2,840.79	\$2,166.72	\$601.27	\$895.37	\$1,574.14
XS0323535418	59715	\$2,838,006.20	\$102,430.14	\$69,121.88	\$87,306.01	\$103,511.08	\$112,437.15	\$84,383.99	\$57,587.03	\$43,922.71	\$12,188.64	\$18,150.46	\$31,910.31
XS0353349045	59717	\$700,000.00	\$25,264.60	\$17,049.05	\$21,534.20	\$25,531.21	\$27,732.85	\$20,813.48	\$14,203.95	\$10,833.62	\$3,006.35	\$4,476.85	\$7,870.74
XS0211814123	59723	\$363,183.50	\$13,108.12	\$8,845.62	\$11,172.66	\$13,246.45	\$14,388.73	\$10,798.73	\$7,369.49	\$5,620.84	\$1,559.79	\$2,322.74	\$4,083.60
XS0213971210	59727	\$1,074,685.28	\$38,787.85	\$26,174.80	\$33,060.70	\$39,197.17	\$42,577.26	\$31,954.20	\$21,806.83	\$16,632.48	\$4,615.54	\$6,873.14	\$12,083.67
XS0307362656	59731	\$1,465,840.27	\$52,905.53	\$35,701.69	\$45,093.86	\$53,463.84	\$58,074.18	\$43,584.63	\$29,743.90	\$22,686.23	\$6,295.47	\$9,374.78	\$16,481.79
XS0293062146	59732	\$2,326,393.32	\$83,964.86	\$56,661.14	\$71,567.18	\$84,850.93	\$92,167.88	\$69,171.93	\$47,205.70	\$36,004.68	\$9,991.37	\$14,878.44	\$26,157.78
XS0132961896	59740	\$129,776.61	\$4,683.93	\$3,160.81	\$3,992.33	\$4,733.36	\$5,141.53	\$3,858.71	\$2,633.34	\$2,008.50	\$557.36	\$829.98	\$1,459.19
XS0363628982	59741	\$323,748.94	\$11,684.84	\$7,885.16	\$9,959.53	\$11,808.15	\$12,826.40	\$9,626.20	\$6,569.30	\$5,010.53	\$1,390.43	\$2,070.53	\$3,640.20
XS0306927723	59742	\$89,885.79	\$3,244.18	\$2,189.23	\$2,765.17	\$3,278.41	\$3,561.12	\$2,672.62	\$1,823.90	\$1,391.12	\$386.04	\$574.86	\$1,010.66
XS0181945972	59751	\$309,395.96	\$11,166.80	\$7,535.58	\$9,517.99	\$11,284.65	\$12,257.76	\$9,199.44	\$6,278.06	\$4,788.40	\$1,328.79	\$1,978.74	\$3,478.82
XS0285498902	59755	\$2,852,690.81	\$102,960.14	\$69,479.53	\$87,757.75	\$104,046.67	\$113,018.93	\$84,820.62	\$57,885.00	\$44,149.98	\$12,251.70	\$18,244.38	\$32,075.42
XS0282208718	59756	\$1,897,143.96	\$68,472.26	\$46,206.43	\$58,362.12	\$69,194.85	\$75,161.73	\$56,408.82	\$38,495.65	\$29,361.35	\$8,147.83	\$12,133.18	\$21,331.33
XS0282208049	59757	\$1,715,698.88	\$61,923.50	\$41,787.20	\$52,780.30	\$62,576.97	\$67,973.17	\$51,013.81	\$34,813.88	\$26,553.20	\$7,368.56	\$10,972.75	\$19,291.18
XS0301129549	59760	\$304,319.69	\$10,983.59	\$7,411.94	\$9,361.83	\$11,099.50	\$12,056.64	\$9,048.50	\$6,175.06	\$4,709.83	\$1,306.98	\$1,946.27	\$3,421.74
XS0223938803	59762	\$1,258,400.20	\$45,418.54	\$30,649.33	\$38,712.35	\$45,897.84	\$49,855.75	\$37,416.70	\$25,534.66	\$19,475.76	\$5,404.56	\$8,048.09	\$14,149.35
XS0195431613	59763	\$174,584.87	\$6,301.16	\$4,252.15	\$5,370.78	\$6,367.66	\$6,916.76	\$5,191.02	\$3,542.56	\$2,701.98	\$749.80	\$1,116.55	\$1,963.01
XS0220691017	59764	\$1,435,180.38	\$51,798.94	\$34,954.95	\$44,150.66	\$52,345.57	\$56,859.49	\$42,673.00	\$29,121.77	\$22,211.72	\$6,163.79	\$9,178.69	\$16,137.05
XS0197173643	59765	\$1,425,220.01	\$51,439.45	\$34,712.35	\$43,844.25	\$51,982.29	\$56,464.87	\$42,376.85	\$28,919.66	\$22,057.57	\$6,121.02	\$9,114.99	\$16,025.05
XS0280166116	59768	\$4,115,406.74	\$148,534.45	\$100,233.98	\$126,602.87	\$150,101.92	\$163,045.66	\$122,365.65	\$83,507.24	\$63,692.54	\$17,674.80	\$26,320.08	\$46,273.30
XS0341031432	59771	\$81,741.04	\$2,950.22	\$1,990.86	\$2,514.61	\$2,981.35	\$3,238.44	\$2,430.45	\$1,658.63	\$1,265.07	\$351.06	\$522.77	\$919.08
XS0342097317	59772	\$129,550.65	\$4,675.77	\$3,155.30	\$3,985.38	\$4,725.12	\$5,132.58	\$3,852.00	\$2,628.76	\$2,005.00	\$556.39	\$828.54	\$1,456.65
XS0226380334	59834	\$2,689,508.67	\$97,070.52	\$65,505.10	\$82,737.75	\$98,094.90	\$106,553.92	\$79,968.64	\$54,573.81	\$41,624.47	\$11,550.87	\$17,200.75	\$30,240.62
CH0043088621	59835	\$49,699.01	\$1,793.75	\$1,210.45	\$1,528.89	\$1,812.68	\$1,968.99	\$1,477.72	\$1,008.46	\$769.17	\$213.44	\$317.85	\$558.81

Transfer of LBHI Claims # 59683, 59684, 59685, 59686, 59687, 59688, 59689, 59690, 59691, 59693, 59695, 59697, 59698, 59699, 59700, 59701, 59702, 59704, 59705, 59706, 59707, 59708, 59709, 59711, 59712, 59714, 59715, 59717, 59723, 59727, 59731, 59732, 59740, 59741, 59742, 59751, 59755, 59756, 59757, 59760, 59762, 59763, 59764, 59765, 59768, 59771, 59772, 59834, 59835

PROGRAM SECURITYSchedule 3LBT DISTRIBUTIONS

ISIN	ISIN CCY	Principal / Notional Amount	8-May-13	24-Oct-13	28-Apr-14	28-Oct-14	27-Apr-15	29-Oct-15	28-Apr-16	14-Jul-16
XS0253139843	JPY	200,000,000.00	23,379,769.00	9,549,200.00	10,850,595.00	8,658,114.00	6,519,400.00	5,031,610.00	1,280,035.00	1,729,062.00
XS0253743800	JPY	200,000,000.00	23,379,124.00	9,549,000.00	10,850,296.00	8,657,875.00	6,519,200.00	5,031,471.00	1,280,000.00	1,729,014.00
XS0289830936	JPY	50,268,000.00	2,278,664.00	930,712.00	1,057,532.00	843,846.00	635,388.00	490,396.00	124,756.00	168,520.00
XS0251363064	JPY	90,000,000.00	9,560,478.00	3,904,920.00	4,437,035.00	3,540,484.00	2,665,890.00	2,057,531.00	523,433.00	707,050.00
XS0305889593	JPY	33,840,000.00	2,146,637.00	876,761.00	996,258.00	794,954.00	598,596.00	461,982.00	117,528.00	158,756.00
XS0269492038	JPY	20,856,000.00	1,453,857.00	593,812.00	674,737.00	538,399.00	405,399.00	312,888.00	79,598.00	107,521.00
XS0239231573	JPY	20,384,000.00	606,421.00	247,686.00	281,441.00	224,573.00	169,106.00	130,509.00	33,201.00	44,848.00
XS0336192868	JPY	150,000,000.00	16,869,127.00	6,890,100.00	7,828,993.00	6,247,060.00	4,704,000.00	3,630,441.00	923,580.00	1,247,564.00
XS0366684073	MXN	76,000,000.00	9,276,112.59	3,972,849.07	4,385,955.08	3,486,728.00	2,666,392.47	2,221,480.00	645,971.83	1,029,587.74
XS0268040192	SGD	3,500,000.00	364,974.56	149,205.00	164,055.50	127,096.40	90,958.16	72,171.48	19,414.50	28,618.34
XS0336623433	USD	500,000.00	61,385.05	24,981.00	27,048.00	20,715.50	13,948.50	10,728.00	2,997.50	4,384.69
XS0339480344	USD	3,000,000.00	402,469.76	163,788.00	177,339.00	135,819.00	91,452.00	70,338.00	19,653.00	28,748.13
XS0342489233	USD	140,000.00	17,449.93	7,101.36	7,688.94	5,888.68	3,965.08	3,049.62	852.04	1,246.44
XS0344314850	USD	100,000.00	11,980.41	4,875.50	5,278.90	4,043.00	2,722.30	2,093.70	585.00	855.75
XS0340461747	USD	350,000.00	41,821.72	17,019.80	18,427.85	14,113.40	9,502.85	7,309.05	2,041.90	2,987.30
XS0341031275	USD	600,000.00	76,909.83	31,299.00	33,888.60	25,954.20	17,476.20	13,441.20	3,755.40	5,493.61
XS0342095881	USD	3,600,000.00	456,380.90	185,727.60	201,092.40	154,011.60	103,701.60	79,758.00	22,284.00	32,598.96
XS0347451295	USD	1,500,000.00	175,937.47	71,599.50	77,523.00	59,373.00	39,978.00	30,747.00	8,590.50	12,567.09
XS0344549067	USD	1,050,000.00	188,444.53	76,688.85	83,032.95	63,593.25	42,820.05	32,933.25	9,201.15	13,460.46
XS0349757103	USD	1,310,000.00	159,038.42	64,721.86	70,075.83	53,669.39	36,137.66	27,794.27	7,765.68	11,360.00
XS0349442458	USD	2,180,000.00	281,121.83	114,404.22	123,869.78	94,869.24	63,878.36	49,130.66	13,727.46	20,080.33
XS0350115878	USD	1,600,000.00	217,927.27	88,688.00	96,024.00	73,542.40	49,518.40	38,086.40	10,641.60	15,566.39
XS0352111289	USD	800,000.00	107,218.04	43,633.60	47,243.20	36,182.40	24,362.40	18,737.60	5,235.20	7,658.51

Transfer of LBHI Claims # 59683, 59684, 59685, 59686, 59687, 59688, 59689, 59690, 59691, 59693, 59695, 59697, 59698, 59699, 59700, 59701, 59702, 59704, 59705, 59706, 59707, 59708, 59709, 59711, 59712, 59714, 59715, 59717, 59723, 59727, 59731, 59732, 59740, 59741, 59742, 59751, 59755, 59756, 59757, 59760, 59762, 59763, 59764, 59765, 59768, 59771, 59772, 59834, 59835

PROGRAM SECURITY

ISIN	ISIN CCY	Principal / Notional Amount	8-May-13	24-Oct-13	28-Apr-14	28-Oct-14	27-Apr-15	29-Oct-15	28-Apr-16	14-Jul-16
XS0353348740	USD	500,000.00	62,112.51	25,277.00	27,368.50	20,961.00	14,113.50	10,855.00	3,033.00	4,436.65
XS0353349631	USD	3,000,000.00	410,976.90	167,250.00	181,086.00	138,690.00	93,384.00	71,823.00	20,067.00	29,355.79
XS0340756898	USD	140,000.00	16,390.28	6,670.16	7,222.04	5,531.12	3,724.28	2,864.40	800.24	1,170.75
XS0323535418	USD	2,078,000.00	242,955.86	98,872.99	107,052.52	81,989.20	55,206.01	42,459.94	11,862.89	17,354.17
XS0353349045	USD	700,000.00	86,175.55	35,070.00	37,970.80	29,081.50	19,581.10	15,060.50	4,207.70	6,155.46
XS0211814123	EUR	250,000.00	29,547.12	11,575.25	12,441.25	10,286.00	8,240.50	5,995.75	1,678.25	2,513.23
XS0213971210	EUR	750,000.00	92,396.43	36,197.25	38,904.75	32,165.25	25,769.25	18,749.25	5,247.75	7,859.09
XS0307362656	EUR	1,000,000.00	126,653.83	49,618.00	53,329.00	44,091.00	35,323.00	25,701.00	7,194.00	10,772.97
XS0293062146	EUR	2,000,000.00	153,092.49	59,976.00	64,462.00	53,294.00	42,696.00	31,066.00	8,696.00	13,021.80
XS0132961896	HKD	1,000,000.00	127,341.28	51,775.00	56,052.00	42,958.60	28,897.00	22,225.00	6,215.00	9,094.08
XS0363628982	JPY	50,000,000.00	6,736,767.00	2,751,600.00	3,126,546.00	2,494,794.00	1,878,550.00	1,449,834.00	368,836.00	498,221.00
XS0306927723	JPY	20,160,000.00	1,673,561.00	683,545.00	776,702.00	619,762.00	466,664.00	360,171.00	91,627.00	123,769.00
XS0181945972	EUR	213,000.00	26,418.03	10,349.46	11,123.71	9,196.70	7,367.88	5,360.78	1,500.59	2,247.08
XS0285498902	EUR	2,000,000.00	251,127.79	98,382.00	105,740.00	87,422.00	70,038.00	50,960.00	14,264.00	21,360.53
XS0282208718	EUR	1,329,000.00	160,694.24	62,953.40	67,662.05	55,940.26	44,816.54	32,608.34	9,127.57	13,668.40
XS0282208049	EUR	1,209,000.00	126,711.20	49,640.33	53,353.17	44,110.36	35,339.07	25,713.01	7,197.18	10,777.86
XS0301129549	EUR	300,000.00	10,431.86	4,086.90	4,392.60	3,631.50	2,909.40	2,116.80	592.50	887.32
XS0223938803	EUR	1,000,000.00	83,939.31	32,884.00	35,344.00	29,221.00	23,410.00	17,033.00	4,768.00	7,139.75
XS0195431613	EUR	122,000.00	14,417.71	5,648.23	6,070.72	5,019.08	4,021.00	2,925.69	818.86	1,226.35
XS0220691017	EUR	1,200,000.00	120,788.24	47,319.60	50,859.60	42,049.20	33,687.60	24,510.72	6,860.40	10,274.06
XS0197173643	EUR	1,000,000.00	119,527.46	46,826.00	50,329.00	41,610.00	33,336.00	24,255.00	6,789.00	10,166.82
XS0280166116	EUR	2,900,000.00	247,975.17	97,147.10	104,414.50	86,324.30	69,159.20	50,320.80	14,085.30	21,092.37
XS0341031432	AUD	100,000.00	8,829.73	3,859.70	4,312.20	3,521.50	2,690.30	2,220.10	573.10	873.63
XS0342097317	AUD	160,000.00	13,089.86	5,721.92	6,392.80	5,220.48	3,988.32	3,291.36	849.60	1,295.14
XS0226380334	CHF	3,015,000.00	287,782.31	113,680.58	120,886.43	98,680.95	67,177.22	51,797.70	14,604.66	21,633.75
CH0043088621	CHF	60,000.00	7,079.00	2,796.30	2,973.55	2,427.30	1,652.40	1,274.10	359.25	532.15

Transfer of LBHI Claims # 59683, 59684, 59685, 59686, 59687, 59688, 59689, 59690, 59691, 59693, 59695, 59697, 59698, 59699, 59700, 59701, 59702, 59704, 59705, 59706, 59707, 59708, 59709, 59711, 59712, 59714, 59715, 59717, 59723, 59727, 59731, 59732, 59740, 59741, 59742, 59751, 59755, 59756, 59757, 59760, 59762, 59763, 59764, 59765, 59768, 59771, 59772, 59834, 59835

PROGRAM SECURITY

Schedule 4

PROOFS OF CLAIM